



#3

FORMALITIES LETTER



OC00000005343922

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/611,178	07/06/2000	Jack Chang	UNI-001

David B Ritchie
D'Alessandro & Ritchie
PO Box 640640
San Jose, CA 95164-0640

Date Mailed: 08/22/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1116.
 - \$882 for 49 total claims over 20.
 - \$234 for 3 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1936.

10/23/2000 CMGUYEN 00000136 09611178

01 FC:201 355.00 OP ✓
02 FC:802 160.00 OP ✓
03 FC:203 441.00 OP ✓
04 FC:205 651.00 OP ✓

copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Adjustment date: 12/07/2000 HN00R1
10/23/2000 CMGUYEN 00000136 09611178
02 FC:802 160.00 OP

Refund Ref: 12/07/2000 HN00R1 0000100097

\$40



SECTOR 4

Docket No. UNI-001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:) Art Unit:
Jack Chang)
Serial No. 09/611,178) Examiner:
Filed: July 6, 2000)
For: APPARATUS AND METHOD FOR)
PBX-INTEGRATED UNIFIED)
MESSAGING SERVICES ON A)
SWITCHED BACKBONE)

CERTIFICATE OF MAILING
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail, in an envelope addressed to:
Assistant Commissioner for Patents
Attn: Box Missing Parts

On 10/1/00 Diane Morse
Date Diane Morse

RESPONSE TO NOTICE TO FILE MISSING PARTS

Honorable Assistant Commissioner
for Patents
Washington, D.C. 20231

Sir:

Responsive to the Notice to File Missing Parts dated August 22, 2000, please find enclosed herewith:

1. Declaration & Power of Attorney executed by the inventor;
2. Verified Statement Claiming Small Entity Status;

3. Check in the amount of \$1,021.00 for filing fee and surcharge for assignee as a small entity calculated as follows:

Basic filing fee - Fee Code 201	\$ 355.00
4 additional independent claims - Fee Code 202	\$ 160.00
49 additional claims in excess of twenty - Fee Code 203	\$ 441.00
<u>Surchage - Fee Code 205</u>	<u>\$ 65.00</u>
Total	\$1,021.00

4. Copy of Notice to File Missing Parts.

The Assistant Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 04-0025. A duplicate of this transmittal page is enclosed.

Respectfully submitted,
D'ALESSANDRO & RITCHIE



David B. Ritchie
Reg. No. 31,562

Dated: October 16, 2000

D'Alessandro & Ritchie
P.O. Box 640640
San Jose, CA 95164-0640
(408) 441-1100